

# A result on the phase diagram of a Ginzburg-Landau problem

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## Abstract

Working with a particular modelization of Ginzburg-Landau phenomenological theory (see [Du01], [Du99] and Section II), we first recall the form of the phase diagram of this modelization as it usually drawn in the physical literature ([T], [Ki], [SST] and [Ge]).

We then study in detail the special case, when the critical Ginzburg Landau parameter  $k$  is equal to  $\frac{1}{\sqrt{2}}$ . This allows us to prove that the critical magnetic field  $H_{c1}(k)$  is strictly decreasing at  $k = \frac{1}{\sqrt{2}}$ .

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## I INTRODUCTION

In 1950 V. Ginzburg and L. Landau ([GL50]) have proposed a modelization for describing the various states of a superconducting material. They introduce a functional depending on a *wave function*  $\phi$  and a *magnetic potential vector*  $\mathbf{A}$ , whose local minima will describe the properties of the material; in this modelization  $|\phi|^2$  represents the local density of superconducting electrons.

Abrikosov ([Ab]) has introduced a particular Ginzburg-Landau modelization, which predicts the periodic structure for the zeros of  $\phi$ , which was subsequently observed in experiments. His model depends on two positive parameters  $k$  and  $H_{\text{ext}}$ , called *Ginzburg-Landau parameter* and *external magnetic field*. It also assumed that:

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1. The superconductor is infinite, homogeneous and isotrop.
2. The magnetic field  $\mathbf{H}_{\text{ext}} = (0, 0, H_{\text{ext}})$  is constant.
3. The energy functional  $F(\phi, \mathbf{A})$  has a Ginzburg-Landau form and depends on the *Ginzburg-Landau parameter*  $k$ .
4. The pairs  $(\phi, \mathbf{A})$  considered are gauge invariant along the z-axis and also along a lattice of  $\mathbb{R}^2$ .
5. The lattice has a fixed shape and there is one quantum flux per unit cell of it.

After some change of variable, recalled in Section II, we obtain the following formulation of the problem:

Denote  $\mathcal{L}$  a lattice of  $\mathbb{R}^2$ , with fundamental domain  $\Omega$  of area 1. Define the vector bundle  $E_1$  over  $\mathbb{R}^2/\mathcal{L}$  as the vector bundle, whose  $C^\infty$  sections are described by

$$C^\infty(E_1) = \left\{ u : \mathbb{R}^2 \rightarrow \mathbb{C} \text{ s.t. } \forall (x, y) \in \mathbb{R}^2, \forall v = (v_x, v_y) \in \mathcal{L}, \right. \\ \left. u((x, y) + v) = e^{i\pi(v_x y - v_y x)} u(x, y) \right\}$$

The vector bundle  $E_1$  is non-trivial; this implies that any section  $u \in C^\infty(E_1)$  has at least one zero in  $\mathbb{R}^2/\mathcal{L}$ .

The potential vector  $\mathbf{a}$  belongs to the space

$$\{\mathbf{a} \in H_{\text{loc}}^1(\mathbb{R}^2; \mathbb{R}^2) \text{ such that } \text{div } \mathbf{a} = 0, \mathbf{a} \text{ is } \mathcal{L}\text{-periodic and } \int_{\Omega} \mathbf{a} = 0\}$$

We denote by  $\mathcal{A}$  the space of all pairs  $(u, \mathbf{a})$  with  $u$  being a  $H_{\text{loc}}^1$  section of  $E_1$  and  $\mathbf{a}$  belonging to the above space.

Denote  $H_{\text{int}}$  the *internal magnetic field* and  $E_{k, H_{\text{int}}}$  the functional defined over  $\mathcal{A}$  by

$$E_{k, H_{\text{int}}}(u, \mathbf{a}) = \int_{\Omega} \frac{\mu}{2} \|i\nabla u + (\mathbf{A}_0 + \mathbf{a})u\|^2 + \frac{1}{4}(1 - |u|^2)^2 + \frac{\mu^2 k^2}{2} |\text{curl } \mathbf{a}|^2$$

with  $\mu = \frac{H_{\text{int}}}{2\pi k}$  and  $\mathbf{A}_0 = \pi \begin{pmatrix} -y \\ x \end{pmatrix}$ . We then define the energy of the superconductor as

$$E_{k, H_{\text{ext}}}(H_{\text{int}}, u, \mathbf{a}) = E_{k, H_{\text{int}}}(u, \mathbf{a}) + \frac{1}{2}(H_{\text{int}} - H_{\text{ext}})^2.$$

The term  $\frac{1}{2}(H_{\text{int}} - H_{\text{ext}})^2$  is a simple magnetic energy, while the term  $E_{k,H_{\text{int}}}$  is the internal energy of the superconductor. The energy  $\mathcal{E}_{k,H_{\text{ext}}}$  is then defined as the minimum of  $E_{k,H_{\text{ext}}}$  over all magnetic field  $H_{\text{int}}$  and pairs  $(u, \mathbf{a}) \in \mathcal{A}$ . Also we denote  $m_E(k, H_{\text{int}})$  the infimum of  $E_{k,H_{\text{int}}}$  over all pairs  $(u, \mathbf{a}) \in \mathcal{A}$ .

For  $u = 0$ ,  $\mathbf{a} = 0$  and  $H_{\text{int}} = H_{\text{ext}}$  one obtains the energy  $E_{\mathcal{N}} = \frac{1}{4}$ , which is the energy of the so called *normal state*. In the limit case  $H_{\text{int}} = 0$ , one obtains (see [Du99] or [Du01]) the energy  $E_{\mathcal{P}} = \frac{H_{\text{ext}}^2}{2}$ , which is the energy of the *pure state*. This leads us to introduce three sets in  $\mathbb{R}_+^* \times \mathbb{R}_+^*$ :

$$\begin{aligned}\mathcal{N} &= \{(k, H_{\text{ext}}) \in \mathbb{R}_+^* \times \mathbb{R}_+^* \text{ s.t. } \mathcal{E}_{k,H_{\text{ext}}} = E_{\mathcal{N}}\}, \\ \mathcal{P} &= \{(k, H_{\text{ext}}) \in \mathbb{R}_+^* \times \mathbb{R}_+^* \text{ s.t. } \mathcal{E}_{k,H_{\text{ext}}} = E_{\mathcal{P}}\}, \\ \mathcal{M} &= \{(k, H_{\text{ext}}) \in \mathbb{R}_+^* \times \mathbb{R}_+^* \text{ s.t. } \mathcal{E}_{k,H_{\text{ext}}} < \inf(E_{\mathcal{P}}, E_{\mathcal{N}})\}.\end{aligned}$$

The set  $\mathcal{M}$  is the complementary of  $\mathcal{P} \cup \mathcal{N}$  in  $\mathbb{R}_+^* \times \mathbb{R}_+^*$ ; if  $(k, H_{\text{ext}}) \in \mathcal{M}$ , then the superconductor is said to be in a *mixed state*.

Using this simple modelization we were able (see [Du99] and [Du01]) to prove following *monotonicity theorem*.

**Theorem 1** (i) If  $(k, H_{\text{ext}}) \in \mathcal{P}$ ,  $k' \leq k$  and  $H'_{\text{ext}} \leq H_{\text{ext}}$  then  $(k', H'_{\text{ext}}) \in \mathcal{P}$ .  
(ii) If  $(k, H_{\text{ext}}) \in \mathcal{N}$ ,  $k' \geq k$  and  $H'_{\text{ext}} \geq H_{\text{ext}}$  then  $(k', \frac{k'}{k}H'_{\text{ext}}) \in \mathcal{N}$ .

The existence of such a Theorem is possible only because the system is invariant by homotheties (see, for example, [DH] for the case of a superconductor restricted to a domain  $\mathcal{D}$  of  $\mathbb{R}^2$ ).

From this theorem we derived the existence of two functions  $k \mapsto H_{c1}(k)$  and  $k \mapsto H_{c2}(k)$  such that

$$\begin{aligned}\mathcal{N} &= \{(k, H_{\text{ext}}), \text{ s.t. } H_{\text{ext}} \geq H_{c2}(k)\}, \\ \mathcal{P} &= \{(k, H_{\text{ext}}), \text{ s.t. } H_{\text{ext}} \leq H_{c1}(k)\}, \\ \mathcal{M} &= \{(k, H_{\text{ext}}), \text{ s.t. } H_{c1}(k) < H_{\text{ext}} < H_{c2}(k)\}.\end{aligned}$$

Using this modelization we obtained in [Du01] the qualitative form of the phase diagram depicted in Figure 1, which is recalled in Section IV

This phase diagram is made of three curves:

- (i) (*boundary normal-pure*)  $H_{\text{ext}} = H_{c1}(k) = H_{c2}(k) = \frac{1}{\sqrt{2}}$  with  $k \leq \frac{1}{\sqrt{2}}$ ,
- (ii) (*boundary normal-mixed*)  $H_{\text{ext}} = H_{c2}(k) = k$  with  $k \geq \frac{1}{\sqrt{2}}$ ,
- (iii) (*boundary pure-mixed*)  $H_{\text{ext}} = H_{c1}(k)$  with  $k \geq \frac{1}{\sqrt{2}}$ .

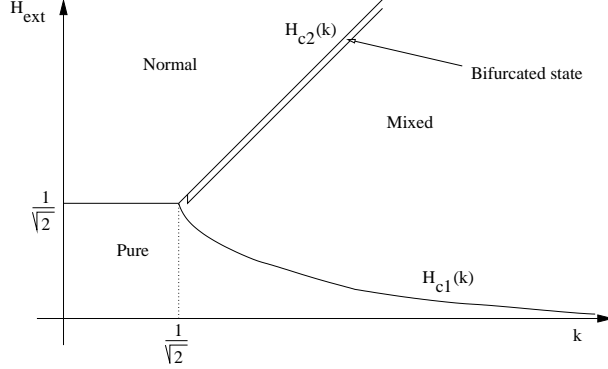


Figure 1: Phase diagram in Abrikosov modelization

The exact expression of curve (iii) is unknown. Those three curves meet at the triple point  $k = H_{\text{ext}} = \frac{1}{\sqrt{2}}$ . A key point of the proof is that the case  $k = \frac{1}{\sqrt{2}}$  is exactly solvable thanks to the Bochner-Kodaira-Nakano formula explained in Section III. Using a more advanced analysis of the case  $k = \frac{1}{\sqrt{2}}$  in Section V, we prove in Section VI the following Theorem:

**Theorem 2** (i) *There exist  $\delta > 0$  and  $S > 0$  such that for all  $h$  in  $[0, \delta]$ , we have*

$$-h \leq H_{c1}\left(\frac{1}{\sqrt{2}} + h\right) - \frac{1}{\sqrt{2}} \leq -Sh.$$

(ii) *The critical magnetic field  $H_{c1}(k)$  is strictly decreasing at  $k = \frac{1}{\sqrt{2}}$ .*

## II THE CHANGE OF VARIABLE

In this Section, we recall the original formulation of the problem by V.Ginzburg and L.Landau in [GL50] and how it is related to our formulation. They proposed the following expression for the density of energy in superconductors

$$\frac{1}{2} \|ik^{-1}\nabla\phi + \mathbf{A}\phi\|^2 + \frac{1}{4}(1 - |\phi|^2)^2 + \frac{1}{2}(\text{curl } \mathbf{A} - H_{\text{ext}})^2$$

This expression belongs to  $L^1_{\text{loc}}(\mathbb{R}^3)$  if  $(\phi, \mathbf{A})$  is in the Sobolev space  $H^1_{\text{loc}}(\mathbb{R}^3; \mathbb{C}) \times H^1_{\text{loc}}(\mathbb{R}^3; \mathbb{R}^3)$ . It is invariant under the gauge transform

$(\phi', \mathbf{A}') = (\phi e^{ikg}, \mathbf{A} + \nabla g)$  with  $g \in H_{\text{loc}}^2(\mathbb{R}^2)$ ; this property is shared by other physically significant quantities like the density of superconducting electron  $|\phi|^2$ , the magnetic field  $\text{curl } \mathbf{A}$  and the current vector of superconducting electron  $\text{Re}[\bar{\phi}(ik^{-1}\nabla\phi + \mathbf{A}\phi)]$ .

We assumed that the problem is invariant under translation along the  $z$ -axis. This means that we consider pairs  $(\phi, \mathbf{A})$ , which satisfies: for every  $h \in \mathbb{R}$ , the pair  $(\phi, \mathbf{A})(x_1, x_2, x_3 + h)$  is gauge equivalent to the pair  $(\phi, \mathbf{A})$ .

In fact, as proved in [Du99] p. 17, we can assume that the pairs  $(\phi, \mathbf{A})$  considered are independent of  $x_3$  and satisfy  $\mathbf{A}_{x_3} = 0$ . So, we can reduce ourself to a 2-dimensional problem.

We take  $\mathcal{L}$  a 2-dimensional lattice of  $\mathbb{R}^2$  with fundamental domain  $\Omega$  of area 1. We consider the dilated lattice :  $\mathcal{L}_\lambda = \sqrt{\lambda}\mathcal{L}$  with fundamental domain  $\Omega_\lambda = \sqrt{\lambda}\Omega$ . Following Abrikosov, we choose  $\lambda$  in  $\mathbb{R}_+$  and restrict the analysis to pairs  $(\phi, \mathbf{A})$ , which are gauge periodic with respect to  $\mathcal{L}_\lambda$  ([Ab]). This means that, for all  $v \in \mathcal{L}_\lambda$ , there exists  $g^v \in H_{\text{loc}}^2(\mathbb{R}^2)$  such that

$$\phi(z + v) = e^{ikg^v(z)}\phi(z) \quad \text{and} \quad \mathbf{A}(z + v) = \mathbf{A}(z) + \nabla g^v(z) .$$

Consequently, all the considered physical quantities are  $\mathcal{L}_\lambda$ -periodic. We denote by  $|\Omega_\lambda|$  the area of  $\Omega_\lambda$ , which is actually equal to  $\lambda$ .

A classic consequence (see [Du99], [BGT]) of gauge periodicity is that there exist  $d \in \mathbb{Z}$  satisfying to

$$2\pi d = k \int_{\Omega_\lambda} \text{curl } \mathbf{A} .$$

We will then, according to Abrikosov, fix the quantization  $d$  per unit cell equal to 1.

The Ginzburg-Landau functional is obtained by integration of the local density over the fundamental domain  $\Omega_\lambda$  and division by  $|\Omega_\lambda|$ . This gives:

$$F(\phi, \mathbf{A}) = \frac{1}{|\Omega_\lambda|} \int_{\Omega_\lambda} \frac{1}{2} \|ik^{-1}\nabla\phi + \mathbf{A}\phi\|^2 + \frac{1}{4}(1 - |\phi|^2)^2 + \frac{1}{2}(\text{curl } \mathbf{A} - H_{\text{ext}})^2,$$

which should be understood as a mean energy.

We denote by  $H_{\text{int}} = \frac{1}{|\Omega_\lambda|} \int_{\Omega_\lambda} \text{curl } \mathbf{A}$  the mean internal magnetic field induced by  $\mathbf{A}$ . The quantization relation is then rewritten as  $2\pi = k\lambda H_{\text{int}}$ .

It is also a classical result (see [BGT], [YS] or [Du99], p. 21-29) that we can associate to the pair  $(\phi, \mathbf{A})$ , another pair  $(\phi', \mathbf{A}')$ , with the same Ginzburg-Landau energy but satisfying to

- (i)  $\mathbf{A}' = \frac{H_{\text{int}}}{2\pi} \mathbf{A}_0 + \mathbf{P}$  with  $\mathbf{P}$   $\mathcal{L}_\lambda$ -periodic,  $\text{div } \mathbf{P} = 0$ ,  $\int_{\Omega_\lambda} \mathbf{P} = 0$ ,
- (ii)  $\phi'(z + v) = e^{ikg^v(z)} \phi'(z)$  with  $g^v(x, y) = \frac{H_{\text{int}}}{2}(v_x y - v_y x)$  for all  $v \in \mathcal{L}_\lambda$ .

This reduction is rather involved and is performed by a suitable gauge transform and a translation in  $x, y$ . The relation relating  $\phi'(z + v)$  to  $\phi'(z)$  actually defines the sections of a complex line bundle over the torus  $\mathbb{R}^2/\mathcal{L}$ ; above result is so, a classification result.

With this expression one gets

$$\frac{1}{|\Omega_\lambda|} \int_{\Omega_\lambda} \frac{1}{2} (\text{curl } \mathbf{A} - H_{\text{ext}})^2 = \frac{1}{|\Omega_\lambda|} \int_{\Omega_\lambda} \frac{1}{2} (\text{curl } \mathbf{P})^2 + \frac{1}{2} (H_{\text{int}} - H_{\text{ext}})^2.$$

This leads to the simple expression  $F(\phi, \mathbf{A}) = F^{\text{int}}(\phi, \mathbf{P}) + \frac{1}{2} (H_{\text{int}} - H_{\text{ext}})^2$  with

$$F^{\text{int}}(\phi, \mathbf{P}) = \frac{1}{\lambda} \int_{\Omega_\lambda} \frac{1}{2} \|ik^{-1} \nabla \phi + \mathbf{A} \phi\|^2 + \frac{1}{4} (1 - |\phi|^2)^2 + \frac{1}{2} (\text{curl } \mathbf{P})^2.$$

The functional  $F^{\text{int}}$  is called internal energy and depends only on  $H_{\text{int}}$ ,  $k$ ,  $\phi$  and  $\mathbf{P}$ .

The quantities  $H_{\text{int}}$ ,  $k$  and  $\lambda$  are related by the quantization relation  $2\pi = k\lambda H_{\text{int}}$ , which makes the analysis of  $F^{\text{int}}$  cumbersome. So, we reduce the complexity of the computation by the following change of variables and of functions:

$$\begin{cases} u(x) &= \phi(x \sqrt{\frac{2\pi}{kH_{\text{int}}}}), \\ \mathbf{a}(x) &= \sqrt{\frac{2\pi k}{H_{\text{int}}}} [\mathbf{A} - \frac{H_{\text{int}}}{2\pi} \mathbf{A}_0] (x \sqrt{\frac{2\pi}{kH_{\text{int}}}}) = \sqrt{\frac{2\pi k}{H_{\text{int}}}} \mathbf{A} (x \sqrt{\frac{2\pi}{kH_{\text{int}}}}) - \mathbf{A}_0(x). \end{cases}$$

We then obtain the formulation given in the introduction since the pair  $(u, \mathbf{a})$  so defined belongs to  $\mathcal{A}$  and verifies  $E_{k, H_{\text{int}}}(u, \mathbf{a}) = F^{\text{int}}(\phi, \mathbf{P})$ .

### III THE FUNCTIONAL $E_{k, H_{\text{int}}}$

Let us now analyze the functional  $E_{k, H_{\text{int}}}$  by assuming here that  $k$  and  $H_{\text{int}}$  are fixed.

$E_{k, H_{\text{int}}}$  is defined over  $\mathcal{A}$  since  $(u, \mathbf{a})$  of class  $H^1$  guarantees local integrability of the density, while the compactness of the torus  $\mathbb{R}^2/\mathcal{L}$  guarantees its integrability.

In fact, the variational theory of the functional  $E_{k,H_{\text{int}}}$  is easy (see [Du99]) since the torus  $\mathbb{R}^2/\mathcal{L}$  is compact and the non-linear partial differential equations obtained for the critical points are elliptic; the vector bundle adds only technical difficulties (see [LM]). More precisely one can prove successively that:

1. *Coerciveness*: for every  $C \in \mathbb{R}$  there is a  $C' > 0$  such that  $E_{k,H_{\text{int}}}(u, \mathbf{a}) < C$  implies  $\|u\|_{H^1} + \|\mathbf{a}\|_{H^1} \leq C'$ .
2. *Lower semicontinuity*: If  $(u_n, \mathbf{a}_n) \in \mathcal{A}$  converges weakly to  $(u, \mathbf{a}) \in \mathcal{A}$ , then  $E_{k,H_{\text{int}}}(u, \mathbf{a}) \leq \underline{\lim}_n E_{k,H_{\text{int}}}(u_n, \mathbf{a}_n)$ .
3. *Minimum*: The functional  $E_{k,H_{\text{int}}}$  attains its minimum on at least one pair  $(u, \mathbf{a}) \in \mathcal{A}$ .
4. *Ginzburg-Landau equations*: The minimizing pairs satisfy to the following equation

$$\begin{cases} \mu[i\nabla + \mathbf{A}_0 + \mathbf{a}]^2 u &= (1 - |u|^2)u \\ \Delta \mathbf{a} &= \frac{1}{k^2} \text{Re}[\bar{u}(i\nabla u + (\mathbf{A}_0 + \mathbf{a})u)] \end{cases}$$

5. *Regularity*: The pairs  $(u, \mathbf{a}) \in \mathcal{A}$  verifying the Ginzburg-Landau equations are in fact of class  $C^\infty$ .
6. *Maximum principle*: The pairs  $(u, \mathbf{a}) \in \mathcal{A}$  verifying the Ginzburg-Landau equations satisfy  $|u| \leq 1$ .

We now explain the Bochner-Kodaira-Nakano formula for the functional  $E_{k,H_{\text{int}}}$  (see [De], [JaTa] and [WY] for related formulas and results). This classical formula is also called Bogmol'nyi formula, Weitzenbock formula, Lichnerowicz formula (see [JT]) according to different scientific schools.

We set  $\mathbf{C} = \mathbf{A}_0 + \mathbf{a}$ ; we get  $\text{curl } \mathbf{C} = 2\pi + \text{curl } \mathbf{a}$  and define

$$A_{+,H_{\text{int}}}(u, \mathbf{a}) = \int_{\Omega} \frac{\mu}{2} |D_+ u|^2 + \frac{1}{4} |\mu \text{curl } \mathbf{C} - (1 - |u|^2)|^2,$$

where  $\mu = \frac{H_{\text{int}}}{2\pi k}$  and  $D_+ = \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} + C_y - i C_x$ .

**Theorem 3** (Bochner-Kodaira-Nakano)

For all  $(u, \mathbf{a}) \in \mathcal{A}$ , we have :

$$E_{\frac{1}{\sqrt{2}},H_{\text{int}}}(u, \mathbf{a}) = \mu\pi - (\mu\pi)^2 + A_{+,H_{\text{int}}}(u, \mathbf{a}).$$

**Proof.** We perform computations with smooth functions and then extend by density. After expansion, simplification and regrouping one obtains

$$\begin{aligned} \{A_{+,H_{\text{int}}} - E_{\frac{1}{\sqrt{2}},H_{\text{int}}}\}(u, \mathbf{a}) &= \frac{1}{2} \int_{\Omega} \operatorname{div} \mathbf{W} - \mu \operatorname{curl} \mathbf{C} \\ &+ \frac{\mu^2}{4} \int_{\Omega} |\operatorname{curl} \mathbf{C}|^2 - |\operatorname{curl} \mathbf{a}|^2 \end{aligned}$$

with  $\mathbf{W} = \begin{pmatrix} \bar{u}(i\frac{\partial u}{\partial y} + C_y u) \\ -\bar{u}(i\frac{\partial u}{\partial x} + C_x u) \end{pmatrix}$ . The vector field  $\mathbf{W}$  being  $\mathcal{L}$ -periodic, the integral of its divergence over  $\Omega$  is 0. The formula is then obtained by replacing  $\operatorname{curl} \mathbf{C}$  by  $2\pi + \operatorname{curl} \mathbf{a}$  and using  $\int_{\Omega} \operatorname{curl} \mathbf{a} = 0$ .  $\square$

The *magnetic Schrodinger* operator is defined as  $H = [i\nabla + \mathbf{A}_0]^2$ ; its spectrum, called *Landau levels*, is recalled in next theorem.

**Theorem 4** (i) *The operator  $H$  admits a self-adjoint extension over  $L^2(E_1)$ , also denoted by  $H$ , whose domain is  $H^2(E_1)$ .*

(ii) *It can be expressed as  $H = L_+^* L_+ + 2\pi$  with  $[L_+, L_+^*] = 4\pi$  and  $L_+ = 2\partial_{\bar{z}} + \pi z$ .*

(iii) *Its spectrum is discrete,  $\operatorname{sp}(H) = 2\pi + 4\pi\mathbb{N}$ , and every eigenvalue is simple.*

(iv) *The eigenvector  $u_0$  associated to  $\lambda = 2\pi$  satisfies  $L_+(u_0) = 0$  and has a unique simple zero in  $\Omega$  denoted by  $z_0$ .*

**Proof.** (i) and the discreteness of the spectrum follow from the fact that  $H$  is an elliptic pseudo-differential operator of order 2 defined over the vector bundle of a compact manifold (see [LM]).

Formula  $H = L_+^* L_+ + 2\pi$  and  $[L_+, L_+^*] = 4\pi$  are proved by first computing with smooth functions and then extending by density.

If we proved that the equation  $L_+(u) = 0$  has a unique solution  $u_0$  up to scalar, then by the harmonic oscillator formalism we would get (iii).

In fact, if one writes,  $u_0(z) = e^{-|z|^2 \frac{\pi}{2}} s(z)$ , then  $s(z)$  is analytic. Furthermore, without loss of generality, we can assume that  $\mathcal{L}$  is generated by the vectors  $v_1 = (u, 0)$  and  $v_2 = (w, r)$  with  $ru = 1$ . Then, after using gauge periodicity conditions, one finds the following expression for  $u_0$ :

$$u_0(x, y) = e^{i\pi xy} \sum_{n \in \mathbb{Z}} e^{-\pi(y+nu)^2} e^{\pi n^2 i w u + 2\pi n u i x}.$$

This expression is a theta function; it is known that such function have a unique simple zero in  $\Omega$  (see [Cha]). Another method of proof is the use of Rouché Theorem as done in [Du99].  $\square$



**Theorem 5** *If  $k \geq \frac{1}{\sqrt{2}}$  and  $H_{\text{int}} \geq k$ , then  $m_E(k, H_{\text{int}}) = \frac{1}{4}$ . Furthermore, the minimum is met only by the pair  $(0, 0)$ .*

**Proof.** We use following expansion of the functional  $E_{k, H_{\text{int}}}$ :

$$\begin{aligned}
E_{k, H_{\text{int}}}(u, \mathbf{a}) &\geq E_{\frac{1}{\sqrt{2}}, H_{\text{int}}}(u, \mathbf{a}) \\
&\geq (\mu\pi) - (\mu\pi)^2 + \int_{\Omega} \frac{\mu}{2} |D_+ u|^2 + \frac{1}{4} |2\mu\pi - 1 + \mu \operatorname{curl} \mathbf{a} + |u|^2|^2 \\
&\geq (\mu\pi) - (\mu\pi)^2 + \frac{(2\mu\pi-1)^2}{4} + \frac{1}{4} \int_{\Omega} 2(2\mu\pi - 1)(\mu \operatorname{curl} \mathbf{a} + |u|^2) \\
&\quad + \frac{1}{4} \int_{\Omega} |\mu \operatorname{curl} \mathbf{a} + |u|^2|^2 \\
&\geq \frac{1}{4} + \frac{2\mu\pi-1}{2} \int_{\Omega} |u|^2.
\end{aligned}$$

Then using the hypothesis  $2\mu\pi - 1 = \frac{H_{\text{int}}}{k} - 1 \geq 0$ , we get  $m_E(k, H_{\text{int}}) \geq \frac{1}{4}$  by positivity of terms of above equation.

Now assume that  $E_{k, H_{\text{int}}}(u, \mathbf{a}) = \frac{1}{4}$ ; in fact, last computation give us the following equalities:

$$\begin{cases} 0 &= (2\mu\pi - 1) \int_{\Omega} |u|^2, & 0 &= \int_{\Omega} |\operatorname{curl} \mathbf{a} + |u|^2|^2, \\ 0 &= (k^2 - \frac{1}{2}) \int_{\Omega} |\operatorname{curl} \mathbf{a}|^2, & 0 &= \int_{\Omega} |D_+ u|^2. \end{cases}$$

The second equality give us  $\operatorname{curl} \mathbf{a} + |u|^2 = 0$ , which integrated over  $\Omega$  yields

$$\int_{\Omega} |u|^2 = - \int_{\Omega} \operatorname{curl} \mathbf{a} = 0$$

and then  $u = 0$ .

Now, using the equation  $\operatorname{div} \mathbf{a} = 0$ , one obtains the equality  $\operatorname{curl}^* \operatorname{curl} \mathbf{a} = \Delta \mathbf{a} = 0$ . The potential vector  $\mathbf{a}$  is  $\mathcal{L}$  periodic; so, it has to be constant. Now, the property  $\int_{\Omega} \mathbf{a} = 0$  yields  $\mathbf{a} = 0$ .  $\square$

## IV THE PHASE DIAGRAM

Let us first consider the special case when  $k = H_{\text{ext}} = \frac{1}{\sqrt{2}}$ . We have the following Lemma:

**Lemma 6** *One has*

- (i)  $E_{\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}}(H_{\text{int}}, u, \mathbf{a}) = \frac{1}{4} + A_{+, H_{\text{int}}}(u, \mathbf{a})$ ,
- (ii)  $\mathcal{E}_{\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}} = \frac{1}{4}$ ,
- (iii)  $(\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}) \in \mathcal{P} \cap \mathcal{N}$ .

**Proof.** (i) is in fact a rewriting of the Bochner-Kodaira-Nakano formula; it yields (ii) by positivity of  $A_{+,H_{\text{int}}}$ , while (iii) is obtained by remarking that  $E_{\mathcal{N}} = \frac{1}{4} = \frac{1}{2}(\frac{1}{\sqrt{2}})^2 = E_{\mathcal{P}}$ .  $\square$

**Theorem 7** (*Type I superconductors*) *If  $k \leq \frac{1}{\sqrt{2}}$ , then:*

(i) *If  $H_{\text{ext}} \leq \frac{1}{\sqrt{2}}$ , then  $\mathcal{E}_{k,H_{\text{ext}}} = E_{\mathcal{P}}$  and  $(k, H_{\text{ext}}) \in \mathcal{P}$ ,*

(ii) *If  $H_{\text{ext}} \geq \frac{1}{\sqrt{2}}$ , then  $\mathcal{E}_{k,H_{\text{ext}}} = E_{\mathcal{N}}$  and  $(k, H_{\text{ext}}) \in \mathcal{N}$ .*

**Proof.** Lemma 6 combined with Theorem 1.(i) give the result in the case  $H_{\text{ext}} \leq \frac{1}{\sqrt{2}}$ .

In particular, if  $k \leq \frac{1}{\sqrt{2}}$  we have  $(k, \frac{1}{\sqrt{2}}) \in \mathcal{P}$  and so,  $\mathcal{E}_{k, \frac{1}{\sqrt{2}}} = E_{\mathcal{P}} = \frac{1}{2}(\frac{1}{\sqrt{2}})^2 = \frac{1}{4} = E_{\mathcal{N}}$ ; therefore Theorem 1.(ii) gives the conclusion in case  $H_{\text{ext}} \geq \frac{1}{\sqrt{2}}$ .  $\square$

**Theorem 8** (*Type II superconductors*) *If  $H_{\text{ext}} \geq k \geq \frac{1}{\sqrt{2}}$ , then:*

(i) *If  $H_{\text{ext}} \geq k$ , then  $\mathcal{E}_{k,H_{\text{ext}}} = E_{\mathcal{N}}$  and  $(k, H_{\text{ext}}) \in \mathcal{N}$ ,*

(ii) *If  $H_{\text{ext}} < k$ , then  $(k, H_{\text{ext}}) \notin \mathcal{N}$ .*

**Proof.** Lemma 6 combined with Theorem 1.(ii) gives (i).

By setting  $H_{\text{int}} = H_{\text{ext}}$ ,  $u = \alpha u_0$ ,  $\mathbf{a} = 0$  and doing a development of order 2 around the pair  $(0, 0)$ , one obtains

$$E_{k,H_{\text{ext}}}(H_{\text{ext}}, \alpha u_0, 0) = E_{k,H_{\text{ext}}}(\alpha u_0, 0) = \frac{1}{4} + \frac{1}{2}\left(\frac{H_{\text{ext}}}{k} - 1\right)\alpha^2 + o(\alpha^2).$$

Since  $k > H_{\text{ext}} = H_{\text{int}}$ , one obtains for  $\alpha$  small  $E_{k,H_{\text{ext}}}(H_{\text{ext}}, \alpha u_0, 0) < \frac{1}{4}$ ; so, the energy will be lower than  $\frac{1}{4}$ , i.e.  $(k, H_{\text{ext}}) \notin \mathcal{N}$ .  $\square$

## V ANALYSIS OF THE CASE $k = \frac{1}{\sqrt{2}}$

In this section we will find all pairs  $(u, \mathbf{a})$  verifying  $A_{+,H_{\text{int}}}(u, \mathbf{a})$ , thus get the value of  $m_E(\frac{1}{\sqrt{2}}, H_{\text{int}})$ . A similar study is done in [Al2] for a rectangular problem. In book [JaTa], the case considered is of  $u$  defined over  $\mathbb{R}^2$ , while in paper ([Ga]) the problem is considered over a Riemann surface. Also, in

[JaTa] it is proved that all critical points of the Ginzburg-Landau functional are solution of the Bogmol'nyi equations, but their proof does not apply to our case.

The papers ([KW]), ([CY]), ([WY]) are devoted to existence theorem concerning the Kazdan-Warner equation. They get as a byproduct existence Theorems for the self-dual equations.

**Theorem 9** (*Kazdan-Warner, see [KW]*) *If  $h$  is a positive function,  $h \neq 0$ , and  $C^\infty(\mathbb{R}^2/\mathcal{L})$ . If  $A > 0$  then the equation*

$$-\Delta f + e^f h = A$$

*has a unique solution  $f$  in  $C^\infty(\mathbb{R}^2/\mathcal{L})$ .*

We define

$$\begin{cases} u_{H_{\text{int}}} &= u_0 e^{f_{H_{\text{int}}}} \\ \mathbf{a}_{H_{\text{int}}} &= \left( \frac{\partial f_{H_{\text{int}}}}{\partial y}, -\frac{\partial f_{H_{\text{int}}}}{\partial x} \right), \end{cases}$$

with  $f_{H_{\text{int}}}$  being the unique solution of  $1 - 2\mu\pi = |u_0|^2 e^{2f} - \mu\Delta f$  and  $\mu = \frac{H_{\text{int}}}{\pi\sqrt{2}}$ .

Let us introduce first the following family of sections of  $E_1$ :

$$u_h(x, y) = e^{i\pi(h_y x - h_x y)} u_0(z - h) .$$

Recall that  $z_0$  is the zero of  $u_0$  in  $\mathbb{R}^2/\mathcal{L}$ ; the section  $u_h$  verifies the following easy properties

$$\begin{cases} u_h \in C^\infty(E_1), & L_+(u_h) = 2\pi h u_h, \\ u_h(z) = 0 \text{ if and only if } z \in z_0 + h + \mathcal{L} . \end{cases}$$

Furthermore, for any  $h \in \mathbb{R}^2$ ,  $v \in \mathcal{L}$ , there exists  $\alpha \in \mathbb{R}$  such that

$$u_{h+v}(z) = e^{i\alpha} e^{2i\pi(v_y x - v_x y)} u_h(z) .$$

**Theorem 10** *We assume  $H_{\text{int}} \leq \frac{1}{\sqrt{2}}$ .*

(i) *If  $(u, \mathbf{a}) \in \mathcal{A}$  satisfies  $A_{+, H_{\text{int}}}(u, \mathbf{a}) = 0$ , then there exist  $c \in \mathbb{R}$  such that  $(u, \mathbf{a}) = (e^{ic} u_{H_{\text{int}}}, \mathbf{a}_{H_{\text{int}}})$ .*

(ii) *The pair  $(u_{H_{\text{int}}}, \mathbf{a}_{H_{\text{int}}})$  satisfies to*

$$\begin{cases} \int_{\Omega} (1 - |u_{H_{\text{int}}}|^2)^2 = \mu^2 [(2\pi)^2 + \int_{\Omega} |\text{curl } \mathbf{a}_{H_{\text{int}}}|^2] \\ \int_{\Omega} \frac{\mu}{2} \|i\nabla u_{H_{\text{int}}} + (\mathbf{A}_0 + \mathbf{a})u_{H_{\text{int}}}\|^2 + \frac{\mu^2}{2} |\text{curl } \mathbf{a}_{H_{\text{int}}}|^2 = (\mu\pi) - 2(\mu\pi)^2 . \end{cases}$$

**Proof.** Let  $(u, \mathbf{a}) \in \mathcal{A}$  be a pair satisfying  $A_{+, H_{\text{int}}}(u, \mathbf{a}) = 0$ , it then verifies the following Bogmol'nyi equations

$$D_+ u = L_+ u + (a_y - ia_x)u = 0 \quad \text{and} \quad 2\mu\pi + \mu \operatorname{curl} \mathbf{a} = 1 - |u|^2$$

and, by Theorem 3, minimizes the functional  $E_{\frac{1}{\sqrt{2}}, H_{\text{int}}}$ . Therefore, by Section III it satisfies the Ginzburg-Landau equations and so, it is  $C^\infty$ .

Since the vector bundle  $E_1$  is non trivial the section  $u$  possess at least one zero in  $\mathbb{R}^2/\mathcal{L}$ , which we write as  $z_h = z_0 + h$ .

The zero-set of the function  $u$  defined on  $\mathbb{R}^2$  contains  $z_h + \mathcal{L}$ , while the zero-set of  $u_h$  is exactly  $z_h + \mathcal{L}$ ; so, one defines on  $\mathbb{R}^2 - (z_h + \mathcal{L})$  the function

$$f = \frac{u}{u_h}.$$

Since both  $u$  and  $u_h$  are section of the vector bundle  $E_1$ , the function  $f$  is  $\mathcal{L}$ -periodic. The equation  $D_+ u = 0$  is rewritten on  $\mathbb{R}^2 - (z_h + \mathcal{L})$  as:

$$0 = 2(\partial_{\bar{z}} f)u_h + fD_+ u_h = 2(\partial_{\bar{z}} f)u_h + [2\pi h f + (a_y - ia_x)f]u_h,$$

Since  $u_h$  is not zero on  $\mathbb{R}^2 - (z_h + \mathcal{L})$  we obtain:

$$\partial_{\bar{z}} f = f w \quad \text{with} \quad w = \frac{1}{2}[(-a_y - 2\pi h_x) + i(a_x - 2\pi h_y)].$$

Note that the function  $w$  is defined on  $\mathbb{R}^2$ , also it is  $C^\infty$  and  $\mathcal{L}$ -periodic.

We now want to extend  $f$  to  $\mathbb{R}^2$ : it is a classic result of complex analysis that the equation  $\partial_{\bar{z}} k = w$  has a  $C^\infty$  solution  $k$  on  $\mathbb{R}^2$ .

The function  $g = f e^{-k}$  is defined on  $\mathbb{R}^2 - (z_h + \mathcal{L})$ , satisfies  $\partial_{\bar{z}} g = 0$  and is so, analytic. If  $m \in z_h + \mathcal{L}$  then  $u = O(z - m)$ , since  $u$  is  $C^\infty$ . The complex  $m$  is a simple zero of  $u_h$ , consequently  $u_h^{-1} = O(|z - m|^{-1})$  and  $f = O(1)$  at  $m$ .

The function  $g$  stay bounded around  $m$  and is analytic outside  $m$ . By a classic result of complex analysis, we get that  $g$  can be extended to  $m$  in a complex analytic function. The function  $g$  is extended to  $\mathbb{C}$  and so,  $f$  too.

The function  $g$  is analytic and so, its zero set is discrete. There exist a translate  $\Omega'$  of  $\Omega$  such that the boundary  $\partial\Omega'$  of  $\Omega'$  does not meet any zero of  $g$ .

By Rouch theorem the number  $n$  of zero of  $g$  in  $\Omega'$  is equal to :

$$n = \frac{1}{2\pi i} \int_{\partial\Omega'} \frac{\partial_z g}{g} dz = \frac{1}{2\pi i} \int_{\partial\Omega'} \frac{\partial_z f}{f} dz - \frac{1}{2\pi i} \int_{\partial\Omega'} \partial_z k dz = \frac{-1}{2\pi i} \int_{\partial\Omega'} \partial_z k dz.$$

The integral of  $\frac{\partial_z f}{f}$  over  $\partial\Omega'$  is zero, since  $f$  is  $\mathcal{L}$ -periodic.

Now using Stokes theorem, we get :

$$\begin{aligned} n &= \frac{-1}{2\pi i} \int_{\partial\Omega'} \partial_z k dz = \frac{-1}{2\pi i} \int_{\Omega'} d(\partial_z k dz) = \frac{-1}{2\pi i} \int_{\Omega'} \partial_{\bar{z}} \partial_z k d\bar{z} \wedge dz \\ &= \frac{-1}{2\pi i} \int_{\Omega'} \partial_z \partial_{\bar{z}} k d\bar{z} \wedge dz = \frac{-1}{2\pi i} \int_{\Omega'} \partial_z w d\bar{z} \wedge dz. \end{aligned}$$

The function  $w$  is  $\mathcal{L}$ -periodic; consequently the function  $\partial_z w$  is a  $\mathcal{L}$ -periodic function, which has integral zero over  $\Omega'$ ; so,  $n = 0$ .

Since  $f = ge^k$ , the function  $f$  has no zero over  $\mathbb{R}^2$ . Since  $\mathbb{R}^2$  is simply connected there exist a complex valued  $C^\infty$  function  $\psi$  such that  $f = e^\psi$ .

The function  $\psi$  is not  $\mathcal{L}$ -periodic, but since the function  $f$  is  $\mathcal{L}$ -periodic and  $C^\infty$  there exist two integer  $n_1, n_2$  such that

$$\psi(z + v_1) = \psi(z) + 2\pi i n_1 \quad \text{and} \quad \psi(z + v_2) = \psi(z) + 2\pi i n_2 .$$

We pose  $v' = n_1 v_2 - n_2 v_1$ , the function  $\psi_2(z) = \psi(z) - 2\pi i \det(z, v')$  is  $\mathcal{L}$ -periodic, and we have :

$$u(z) = f(z)u_h(z) = e^{\psi_2(z) + 2\pi i [xv'_y - yv'_x]} u_h(z) = e^{\psi_2(z) - i\alpha} u_{h+v'}(z)$$

with  $\alpha \in \mathbb{R}$ . We set  $h_3 = h + v'$ ,  $\psi_3 = \psi_2 - i\alpha$ , and we rewrite  $u$  as:

$$u(z) = e^{\psi_3(z)} u_{h_3}(z)$$

with  $\psi_3$  a  $\mathcal{L}$ -periodic  $C^\infty$  function. The Bogmol'nyi equations are rewritten as

$$\begin{cases} \frac{\partial \psi_3}{\partial \bar{z}} &= \frac{1}{2} [(-a_y - \pi h_{3,x}) + i(a_x - \pi h_{3,y})] , \\ 0 &= 2\mu\pi - 1 + |u_{h_3}|^2 e^{2\operatorname{Re} \psi_3} + \mu \operatorname{curl} \mathbf{a}. \end{cases}$$

The real and imaginary part of first equation give us the expression of the potential vector:

$$\begin{cases} a_x &= \pi h_{3,y} + \frac{\partial \operatorname{Re} \psi_3}{\partial y} + \frac{\partial \operatorname{Im} \psi_3}{\partial x} , \\ a_y &= -\pi h_{3,x} - \frac{\partial \operatorname{Re} \psi_3}{\partial x} + \frac{\partial \operatorname{Im} \psi_3}{\partial y} . \end{cases}$$

The equation  $\operatorname{div} \mathbf{a} = 0$  is then rewritten as  $\Delta \operatorname{Im} \psi_3 = 0$ . Thus  $\operatorname{Im} \psi_3$  is constant, since it is  $\mathcal{L}$ -periodic. We now write  $\psi_3 = f + ic$  with  $f$  a real  $C^\infty$ ,  $\mathcal{L}$ -periodic function; so, one has

$$a_x = \pi h_{3,y} + \frac{\partial f}{\partial y} \quad \text{and} \quad a_y = -\pi h_{3,x} - \frac{\partial f}{\partial x} .$$

The functions  $\mathbf{a}$ ,  $\frac{\partial f}{\partial x}$ , and  $\frac{\partial f}{\partial y}$  have zero integral over  $\Omega$ . So, we have  $h_3 = 0$  and the zero of  $u$  in  $\Omega$  is  $z_0$ .

One then obtain  $\text{curl } \mathbf{a} = -\Delta f$  and the following equation for  $f$ :

$$0 = 2\mu\pi - 1 + |u_0|^2 e^{2f} - \mu\Delta f .$$

So, one gets  $f = f_{H_{\text{int}}}$ ; now above equation rewrites as

$$-\mu \text{curl } \mathbf{a}_{H_{\text{int}}} = 2\mu\pi - 1 + |u_{H_{\text{int}}}|^2 .$$

It yields  $\int_{\Omega} |u_{H_{\text{int}}}|^2 = 1 - 2\mu\pi$  and  $\int_{\Omega} (1 - |u_{H_{\text{int}}}|^2)^2 = \mu^2 [(2\pi)^2 + \int_{\Omega} |\text{curl } \mathbf{a}_{H_{\text{int}}}|^2]$ , the second equation of (ii) is then obtained by Theorem 3.  $\square$

**Corollary 11** . *For every positive  $H_{\text{int}}$  one has:*

$$m_E\left(\frac{1}{\sqrt{2}}, H_{\text{int}}\right) = \begin{cases} \frac{H_{\text{int}}}{\sqrt{2}} - \left(\frac{H_{\text{int}}}{\sqrt{2}}\right)^2 & \text{if } H_{\text{int}} \leq \frac{1}{\sqrt{2}} , \\ \frac{1}{4} & \text{if } H_{\text{int}} \geq \frac{1}{\sqrt{2}} . \end{cases}$$

**Proof.** By Theorem 3, one has the inequality  $m_E\left(\frac{1}{\sqrt{2}}, H_{\text{int}}\right) \geq \frac{H_{\text{int}}}{\sqrt{2}} - \left(\frac{H_{\text{int}}}{\sqrt{2}}\right)^2$ , since  $A_{+, H_{\text{int}}} \geq 0$ . This lower bound is attained by the pair  $(u_{H_{\text{int}}}, \mathbf{a}_{H_{\text{int}}})$ .

Theorem 5 give the result if  $H_{\text{int}} \geq \frac{1}{\sqrt{2}}$ .  $\square$

**Remark 12** *It can be shown that the pair  $(u_{H_{\text{int}}}, \mathbf{a}_{H_{\text{int}}})$  depends continuously on  $H_{\text{int}}$  and vanish for  $H_{\text{int}} = \frac{1}{\sqrt{2}}$ , i.e. it is a bifurcated state (see [Du99]).*

## VI LOCAL STUDY

We define

$$H_k(u, \mathbf{a}) = \frac{1}{4\pi k} \int_{\Omega} \|i\nabla u + (\mathbf{A}_0 + \mathbf{a})u\|^2 + \sqrt{\left[\frac{1}{2} + \frac{1}{2(2\pi)^2} \int_{\Omega} |\text{curl } \mathbf{a}|^2\right] \left[\int_{\Omega} (1 - |u|^2)^2\right]} .$$

**Theorem 13** *If  $k \geq \frac{1}{\sqrt{2}}$  then  $H_{c1}(k) = \inf_{(u, \mathbf{a}) \in \mathcal{A}} H_k(u, \mathbf{a})$ . If this infimum is attained on a pair, say,  $(u', \mathbf{a}') \in \mathcal{A}$ , then one has*

$$E_{k, H_{c1}(k)}(H_{\text{int}}, u', \mathbf{a}') = \frac{H_{c1}^2(k)}{2} \quad \text{with} \quad H_{\text{int}} = \frac{1}{2} \sqrt{\frac{\int_{\Omega} (1 - |u'|^2)^2}{\frac{1}{2} + \frac{1}{2(2\pi)^2} \int_{\Omega} |\text{curl } \mathbf{a}'|^2}} .$$

**Proof.** By Section I, we have  $(k, H_{\text{ext}}) \in \mathcal{P}$  equivalent to:

$$E_{k, H_{\text{int}}}(u, \mathbf{a}) + \frac{1}{2}(H_{\text{int}} - H_{\text{ext}})^2 \geq \frac{H_{\text{ext}}^2}{2},$$

which after simplification is equivalent to

$$\left\{ \begin{array}{l} H_{\text{int}}[\frac{1}{2} + \frac{1}{2(2\pi)^2} \int_{\Omega} |\text{curl } \mathbf{a}|^2] + \frac{1}{4H_{\text{int}}} \int_{\Omega} (1 - |u|^2)^2 \\ + \frac{1}{4\pi k} \int_{\Omega} \|i\nabla u + (\mathbf{A}_0 + \mathbf{a})u\|^2 \geq H_{\text{ext}}. \end{array} \right.$$

The minimum over  $H_{\text{int}} > 0$  of the above expression is attained for  $H_{\text{int}} = \frac{1}{2} \sqrt{\frac{\int_{\Omega} (1 - |u|^2)^2}{\frac{1}{2} + \frac{1}{2(2\pi)^2} \int_{\Omega} |\text{curl } \mathbf{a}|^2}}$  which yields the Theorem.  $\square$

The above expression of  $H_{c1}(k)$  allow us to obtain  $H_{c1}(k) = O(\frac{\ln k}{k})$  (see [Du99]). From Theorem 7, one has  $H_{c1}(\frac{1}{\sqrt{2}}) = \frac{1}{\sqrt{2}}$ .

**Theorem 14** *The set of pairs  $(u, \mathbf{a}) \in \mathcal{A}$  verifying  $H_{\frac{1}{\sqrt{2}}}(u, \mathbf{a}) = \frac{1}{\sqrt{2}}$  is*

$$(e^{ic} u_{H_{\text{int}}}, \mathbf{a}_{H_{\text{int}}})$$

with  $c \in \mathbb{R}$  and  $0 < H_{\text{int}} \leq \frac{1}{\sqrt{2}}$ .

**Proof.** If  $(u, \mathbf{a}) \in \mathcal{A}$  satisfies  $H_{\frac{1}{\sqrt{2}}}(u, \mathbf{a}) = \frac{1}{\sqrt{2}}$ , then one has

$$E_{\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}}(H_{\text{int}}, u, \mathbf{a}) = \frac{1}{4} \quad \text{and} \quad H_{\text{int}} = \frac{1}{2} \sqrt{\frac{\int_{\Omega} (1 - |u|^2)^2}{\frac{1}{2} + \frac{1}{2(2\pi)^2} \int_{\Omega} |\text{curl } \mathbf{a}|^2}}.$$

By Lemma 6.(i), first equation simplifies to  $A_{+, H_{\text{int}}}(u, \mathbf{a}) = 0$ , and then using Theorem 10 to  $(u, \mathbf{a}) = (e^{ic} u_{H_{\text{int}}}, \mathbf{a}_{H_{\text{int}}})$ .

When the expression of  $(u, \mathbf{a})$  is substituted into the second equation, one obtains

$$4H_{\text{int}}^2 = \frac{\int_{\Omega} (1 - |u_{H_{\text{int}}}|^2)^2}{\frac{1}{2} + \frac{1}{2(2\pi)^2} \int_{\Omega} |\text{curl } \mathbf{a}_{H_{\text{int}}}|^2}.$$

By Theorem 10.(ii), this relation is always satisfied.  $\square$

**Theorem 15** (i) *There exist  $\delta > 0$  and  $S > 0$  such that for all  $h$  in  $[0, \delta]$ , we have*

$$-h \leq H_{c1}(\frac{1}{\sqrt{2}} + h) - \frac{1}{\sqrt{2}} \leq -Sh.$$

(ii) *The critical magnetic field  $H_{c1}(k)$  is strictly decreasing at  $k = \frac{1}{\sqrt{2}}$ .*

**Proof.** The expression of  $H_{c1}(k)$  obtained in Theorem 13 give us that the function  $k \mapsto kH_{c1}(k)$  is increasing; this yields the lower bound.

Now we will prove the upper bound by using the  $(u_{H_{\text{int}}}, \mathbf{a}_{\mathbf{H}_{\text{int}}})$  as quasi-modes. If  $k = \frac{1}{\sqrt{2}} + h$  then we will have

$$H_k(u_{H_{\text{int}}}, \mathbf{a}_{\mathbf{H}_{\text{int}}}) = \frac{1}{\sqrt{2}} - \frac{h}{2\pi} \int_{\Omega} \|i\nabla u_{H_{\text{int}}} + (\mathbf{A}_0 + \mathbf{a}_{\mathbf{H}_{\text{int}}})u_{H_{\text{int}}}\|^2 + o(h) .$$

We get the following values of  $S$  using Bochner-Kodaira-Nakano

$$\begin{aligned} S &= \sup_{0 < H_{\text{int}} < \frac{1}{\sqrt{2}}} \frac{1}{2\pi} \int_{\Omega} \|i\nabla u_{H_{\text{int}}} + (\mathbf{A}_0 + \mathbf{a}_{\mathbf{H}_{\text{int}}})u_{H_{\text{int}}}\|^2 \\ &= \sup_{0 < H_{\text{int}} < \frac{1}{\sqrt{2}}} \left[ 1 - \frac{H_{\text{int}}}{\frac{1}{\sqrt{2}}} - \frac{H_{\text{int}}}{2\pi^2\sqrt{2}} \int_{\Omega} |\text{curl } \mathbf{a}_{\mathbf{H}_{\text{int}}}|^2 \right] \end{aligned}$$

follows from Theorem 10.(ii).  $\square$

One may want now to know the exact value of  $S$  at  $\frac{1}{\sqrt{2}}$ . Using numerical simulations we obtain that the function

$$\chi(H_{\text{int}}) = 1 - \sqrt{2}H_{\text{int}} - \frac{H_{\text{int}}}{2\pi^2\sqrt{2}} \int_{\Omega} |\text{curl } \mathbf{a}_{\mathbf{H}_{\text{int}}}|^2$$

is decreasing and has a limit of approximately 0.78 at  $H_{\text{int}} = 0$  for a square lattice.

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